

1   **Title: Effect of biomechanical footwear on knee pain in people with**  
2   **knee osteoarthritis: the BIOTOK randomized clinical trial**

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4   Stephan Reichenbach MD MSc,<sup>1,2</sup> David T Felson MD MPH,<sup>3,4,5</sup> Cesar A Hincapié DC  
5   PhD,<sup>6,7</sup> Sarah Heldner MD,<sup>1</sup> Lukas Bütikofer PhD,<sup>8</sup> Armando Lenz PhD,<sup>8</sup> Bruno R da  
6   Costa MScPT PhD,<sup>6,9</sup> Harald M Bonel MD,<sup>10</sup> Richard K Jones PhD,<sup>11</sup> Gillian A Hawker  
7   MD MSc,<sup>9</sup> Peter Jüni MD<sup>6,9</sup>

8  
9   <sup>1</sup> Institute for Social and Preventive Medicine (ISPM), University of Bern, Bern,  
10   Switzerland

11   <sup>2</sup> Department of Rheumatology, Immunology and Allergology, Bern University Hospital,  
12   Bern, Switzerland

13   <sup>3</sup> Arthritis Research UK Centre for Epidemiology, Centre for Musculoskeletal Research,  
14   Faculty of Biology, Medicine and Health, The University of Manchester, Manchester, UK

15   <sup>4</sup> NIHR Manchester Musculoskeletal Biomedical Research Centre, Manchester  
16   University Hospitals NHS Foundation Trust, Manchester Academic Health Science  
17   Centre, Manchester, UK

18   <sup>5</sup> Clinical Epidemiology Unit, Boston University, Boston, MA, USA

19   <sup>6</sup> Applied Health Research Centre (AHRC), Li Ka Shing Knowledge Institute, St.  
20   Michael's Hospital, Toronto, ON, Canada

21   <sup>7</sup> Department of Chiropractic Medicine, Faculty of Medicine, University of Zurich and  
22   Balgrist University Hospital, Zurich, Switzerland

23   <sup>8</sup> Clinical Trials Unit (CTU) Bern, University of Bern, Bern, Switzerland

24 <sup>9</sup> Department of Medicine and Institute of Health Policy, Management and Evaluation,  
25 University of Toronto, Toronto, ON, Canada

26 <sup>10</sup> Department for Diagnostic, Interventional and Pediatric Radiology, Bern University  
27 Hospital, Bern, Switzerland

28 <sup>11</sup> Centre for Health Sciences Research, School of Health Sciences, University of  
29 Salford Manchester, Manchester, UK

30  
31 Correspondence to: Prof Peter Jüni, Applied Health Research Centre, Li Ka Shing  
32 Knowledge Institute, St. Michael's Hospital, 250 Yonge Street, 6<sup>th</sup>  
33 Floor, Toronto, ON, M5B 2L7, Canada; [peter.juni@utoronto.ca](mailto:peter.juni@utoronto.ca); tel.  
34 +1.416. 864.3037

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40 **Key points**

41 **Question** Is an individualized biomechanical footwear therapy effective for reducing  
42 knee pain in people with osteoarthritis?

43

44 **Findings** In this randomized trial that included 220 participants with knee pain due  
45 to osteoarthritis, treatment with an individualized biomechanical footwear therapy  
46 compared with control footwear resulted in a lower WOMAC pain subscore (range 0 to  
47 10), 1.3 pts vs 2.6 pts after 24 weeks, a difference that was statistically significant.

48

49 **Meaning** Although use of biomechanical footwear compared with control footwear  
50 resulted in an improvement in knee pain at 24 weeks that was statistically significant,  
51 the difference was of uncertain clinical importance, and further research is needed to  
52 assess longer term efficacy and safety.

53 **Abstract**

54 **Importance:** Individually calibrated biomechanical footwear therapy may improve pain  
55 and function in people with symptomatic knee osteoarthritis, but benefits of this therapy  
56 are unclear.

57 **Objective:** To assess the effect of a biomechanical footwear therapy vs control  
58 footwear over 24 weeks.

59 **Design, Setting and Participants:** Randomized, controlled, single-center superiority  
60 trial in a Swiss University hospital. Participants (N= 220) with symptomatic,  
61 radiologically confirmed knee osteoarthritis were recruited between April 20, 2015 and  
62 January 10, 2017. The last participant visit occurred on August 15, 2017.

63 **Interventions:** Participants were randomized to biomechanical footwear involving  
64 shoes with individually adjustable external convex pods attached to the outsole (n=111)  
65 or to control footwear (n=109) that had visible outsole pods that were not adjustable and  
66 did not create a convex walking surface.

67 **Main Outcomes and Measures:** The primary outcome was knee pain at 24 weeks  
68 assessed with the Western Ontario and McMaster Universities Osteoarthritis Index  
69 (WOMAC) pain subscore standardized to range from 0 (best) to 10 (worst). Secondary  
70 outcomes included WOMAC function, stiffness and global scores, all ranging from 0  
71 (best) to 10 (worst) at 24 weeks, and serious adverse events.

72 **Results:** Among 220 randomized participants (mean age 65.1 years; 104 (47.3%)  
73 women), 219 received the allocated treatment and 213 (96.8%) completed follow-up. At  
74 24 weeks, mean standardized WOMAC pain subscores improved from 4.3 to 1.3 in the  
75 intervention group, and from 4.0 to 2.6 in the control group (difference in scores at 24

76 weeks, -1.3; 95%-CI -1.8 to -0.9,  $p<0.001$ ). Results were consistent for WOMAC  
77 function (difference -1.1; 95%-CI -1.5 to -0.7), stiffness (difference -1.4; 95%-CI -1.9 to -  
78 0.9), and global scores (difference -1.2; 95%-CI -1.6 to -0.8) at 24 weeks. Three serious  
79 adverse events occurred in the experimental group compared with 9 in the control group  
80 (2.7% vs 8.3%); none were treatment related.

81 **Conclusions and Relevance:** Among participants with knee pain from osteoarthritis,  
82 use of biomechanical footwear compared with control footwear resulted in improvement  
83 in pain at 24 weeks that was statistically significant but of uncertain clinical importance.  
84 Further research would be needed to assess longer term efficacy and safety, as well as  
85 replication, before reaching conclusions about the clinical value of this device.

86 **Trial Registration:** ClinicalTrials.gov Identifier: NCT02363712

## 87 INTRODUCTION

88 Knee osteoarthritis (OA) affects approximately 265 million people worldwide, and was  
89 estimated to account for 8.3 million years lived with disability in 2017.<sup>1</sup> The prevalence  
90 of knee OA is rising due to population aging and the increasing prevalence of obesity.  
91 Acetaminophen, non-steroidal anti-inflammatory drugs, and opioids are most commonly  
92 used to treat pain associated with OA,<sup>2</sup> but have limited effectiveness<sup>3,4</sup> and are  
93 associated with adverse effects.<sup>3,5,6</sup> In the US, rates of knee replacement surgery,  
94 almost all related to OA, have been increasing, in part because of ineffective  
95 nonsurgical treatments.

96 Biomechanical treatments for knee OA have been developed to reduce pain,  
97 improve function and, ~~perhaps potentially to~~ slow disease progression,<sup>7</sup> but evidence of  
98 effectiveness is inconclusive.<sup>8,9</sup> Two small prospective, non-randomized controlled  
99 studies suggested that an individualized biomechanical footwear system may improve  
100 pain and function in people with symptomatic knee OA.<sup>10,11</sup> In those studies, the  
101 footwear system consisted of shoes with 2 convex pods on the outsoles, individually  
102 calibrated based on findings from detailed, ~~repeatedly performed~~ gait studies.  
103 Adjustment of the location of the pods may alter limb biomechanics and reduce stress  
104 on osteoarthritic knee compartments.<sup>12-14</sup> Walking on the convex pods results in gait  
105 alterations, which in turn is hypothesized to induce reconditioning of the neuromuscular  
106 system and ~~improvement of improve~~ pathological gait patterns.<sup>15</sup> The objective of this  
107 study, the Biomechanical Therapy for Osteoarthritis of the Knee (BIOTOK) randomized  
108 trial, was to determine whether ~~compare~~ biomechanical footwear were more effective

109 | ~~than~~with control footwear for improving knee pain in participants with knee pain from  
110 osteoarthritis.

## 111 METHODS

### 112 Study design and participants

113 This was an investigator-initiated single-center randomized ~~single-center controlled~~  
114 ~~superiority clinical~~ trial in participants with symptomatic knee OA that compared  
115 biomechanical footwear therapy using shoes with two individually calibrated convex  
116 pods on the outsoles (AposTherapy, Apos Medical Assets, New York, NY; eFigures 1  
117 and 2) with a similarly appearing control footwear ~~therapy~~. The trial protocol and  
118 statistical analysis plan are in Supplement 1 and Supplement 2.

119 We enrolled men and non-pregnant women aged  $\geq 40$  years, with symptomatic,  
120 radiologically confirmed knee OA according to the criteria of the American College of  
121 Rheumatology.<sup>16</sup> Participants had knee pain lasting 6 months or longer, and a score  $\geq 3$   
122 at the screening visit on the Western Ontario and McMaster Universities Osteoarthritis  
123 Index (WOMAC) pain subscale<sup>17</sup> standardized to range from 0 to 10. Exclusion criteria  
124 included history of inflammatory rheumatic disease, knee surgery in the previous 6  
125 months or planned hip or knee surgery within 24 weeks of baseline assessment,  
126 glucocorticoid knee injections in the previous three months, or a high risk of falls (see  
127 Supplement 3 for full eligibility criteria and selection of index knee). The trial was  
128 approved by the independent Research Ethics Committee of Canton Bern (KEK-BE  
129 041/215). All participants gave written informed consent.

130

### 131 Randomization and masking

132 Participants were randomized 1:1 to experimental footwear or control footwear using a  
133 concealed, secure web-based system. Randomization was computer-generated,



134 blocked with randomly varied block sizes of 2 and 4, and stratified by unilateral vs  
135 bilateral knee disease and predominantly affected compartment (medial vs. lateral) in  
136 the index knee.

137 The biomechanical footwear device consisted of 2 shoes with 2 convex  
138 adjustable rubber pods screwed to the outsole at the heel and forefoot (eFigures 1 and  
139 2 in Supplement 3). The control footwear was specifically designed by the manufacturer  
140 for this trial to have a similar appearance to the biomechanical footwear, but with pods  
141 embedded in the transparent outsole so that they were visible yet did not create a  
142 convex walking surface (eFigure 3 in Supplement 3). To ~~avoid between-group~~  
143 ~~differences in the magnitude of placebo effects~~ try to maintain blinding of participants,  
144 participants were kept unaware of the study design and use of control footwear.

145 Participants were informed in a neutral manner that two different types of footwear were  
146 compared (Supplement 3). Experimental and control footwear were both presented on  
147 the manufacturer's website, and the control footwear was described as a device with a  
148 novel design of the sole (eFigure 3 in Supplement 3).

149 Technicians and study nurses who coordinated the clinical visits could not be  
150 blinded to treatment allocation but were asked not to disclose treatment allocation or the  
151 nature of the control footwear study component to participants. As technicians were  
152 from Israel and did not speak German, direct interaction between technicians and  
153 participants was limited, with verbal communication carried out through translating study  
154 nurses, who were independent of the manufacturer and encouraged to ~~ensure~~ facilitate  
155 unbiased participant interaction. The remaining study personnel performing data entry,

156 management and cleaning, and the statistician were blinded to the allocated  
157 intervention until all primary and secondary analyses were completed.

158       The consent form did not state that the control footwear was intended to be  
159 ineffective (i.e. a sham). Rather, the consent form implied that both types of shoes may  
160 have been effective. Furthermore, the manufacturer's website was altered to imply  
161 potentially therapeutic benefits of both the intervention and control shoe. Therefore, the  
162 trial could be considered potentially deceptive according to the International Ethical  
163 Guidelines for Health-related Research Involving Humans<sup>18</sup>—however, both  
164 experimental and control footwear included some therapeutic elements. Both were high-  
165 top shoes, which provided more stability and proprioceptive input than loose shoes or  
166 sandals. Furthermore, proposed mechanisms of the experimental footwear were  
167 hypothetical at the time of initiation of this trial, and the trial was considered to entail no  
168 more than minimal risks and burdens to participants according to Article 2 of the Swiss  
169 Clinical Trials Ordinance.<sup>19</sup> Therefore, the responsible Research Ethics Committee did  
170 not classify the trial as involving incomplete participant information (i.e., did not consider  
171 the study procedures to be 'deceptive') according to Article 18 of the Swiss Human  
172 Research Act.<sup>20</sup> Nonetheless, because the trial may have been considered deceptive  
173 by some individuals, participants were debriefed after the trial was completed.  
174 Participants were advised of the rationale of the sham-controlled design, informed of  
175 differences between experimental and control footwear, and about their group  
176 allocation, and were given the opportunity to withdraw consent to participate.  
177 Supplement 3 discusses the criteria specified in the International Ethical Guidelines for

178 Health-related Research Involving Humans for trials that withhold information or use  
179 deception<sup>18</sup> with respect to this trial.

180

## 181 **Procedures**

182 Participants in both groups underwent initial fitting of their assigned device by  
183 technicians at baseline and re-calibration at 4, 8, 12 and 16 weeks. The positioning of  
184 the external pods was individually adjusted on experimental devices, in accordance with  
185 gait patterns and reported pain intensity during walking, with the aim of decreasing  
186 clinically observed malalignment and reported pain intensity, and increasing gait  
187 symmetry<sup>13,14,21,22</sup> as determined by two-dimensional computerized spatiotemporal gait  
188 analysis (Zeno walkway and PKMAS software, ProtoKinetics, Havertown, PA;  
189 Supplement 3). Participants allocated to control footwear received a simulated  
190 calibration, which mimicked calibration of the experimental footwear. Technicians,  
191 provided by the manufacturer, performed all device-related procedures (gait analyses,  
192 fitting, calibrations of experimental and control footwear).

193 Participants were instructed to use the footwear during indoor activities for a half  
194 hour each day during the first week of the intervention, with subsequent increases of 10  
195 minutes per week on average, but were not given explicit instructions to perform specific  
196 home-based exercises. After 6 weeks, the participants were advised to use the footwear  
197 to walk outdoors. Participants were asked to stop their regular pain medication and  
198 advised that other interventions such as physical therapy should be avoided during the  
199 trial. They were permitted daily therapy as needed with acetaminophen at a maximum  
200 dose of 2 g, with amounts recorded at each visit.

201

202 **Outcomes**

203 The prespecified primary outcome was knee pain at the end of treatment (24-week  
204 follow up) in the index knee, as assessed with the WOMAC pain subscore (visual  
205 analogue version) (standardized 0-10 scale, 0=best).<sup>17</sup> The secondary outcomes  
206 prespecified in the protocol were WOMAC global score (standardized 0-10 scale,  
207 0=best), WOMAC physical function and stiffness subscores (standardized 0-10 scale,  
208 0=best) at 12 and 24 weeks follow-up; WOMAC pain subscore at 4, 8, 12 and 16  
209 weeks; the physical and mental component summary scores of the Medical Outcomes  
210 Study Short Form 36-item Health Survey (SF-36) (standardized to have a mean of 50  
211 and a standard deviation of 10 for the general population, with theoretical range 0-100,  
212 100=best)<sup>23</sup> at 12 and 24 weeks; gait velocity, step length, and single limb support, as  
213 measured by two-dimensional computerized gait analysis when walking barefoot at 4, 8,  
214 12, 16 and 24 weeks; self-reported time spent wearing footwear per day; self-reported  
215 health care utilization; and analgesic use, as between-group differences in analgesic  
216 use could result in performance bias.<sup>24</sup> Minimal clinically important differences were not  
217 considered when planning the trial. Other prespecified outcomes were treatment  
218 response defined as a 30% decrease in WOMAC pain from baseline and ~~as~~ a 50%  
219 decrease in WOMAC pain from baseline.<sup>25</sup> Treatment response defined as a 50%  
220 decrease in WOMAC pain from baseline was not prespecified in the protocol, but was  
221 included in the statistical analysis plan. The adverse events prespecified in the protocol  
222 were falls, any adverse events, serious adverse events, dropouts, and dropouts due to  
223 adverse events (Supplement 3). WOMAC scores,<sup>17</sup> analgesic intake, and gait analysis

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parameters were recorded at baseline, 4, 8, 12, 16 and 24 weeks; SF-36 scores and healthcare utilization were recorded at baseline and 12 and 24 weeks. Adverse events and time spent wearing footwear were recorded at each follow-up visit. Two investigators blinded to the assigned treatment adjudicated all potential adverse events based on notes by participants and nurses, and, in case of potential serious adverse events, based on relevant medical records.

230

### 231 **Statistical analysis**

232 A sample size of 100 participants per group yielded 80% power to detect a difference of  
233 1.05 on a standardized WOMAC pain scale ranging from 0 to 10 at a two-sided alpha of  
234 0.05. The difference corresponds to a moderate effect size of 0.4 standard deviation  
235 units assuming a typical standard deviation of 2.65.<sup>4</sup> The protocol prespecified the use  
236 of analyses of covariance for all continuous outcomes, adjusted for the outcome's  
237 baseline values. For this approach, a sample size of 100 participants per group would  
238 yield approximately 90% power, assuming a correlation of 0.5 between baseline and 24-  
239 week follow-up. Anticipating an attrition rate of 10%, the target sample size was 220  
240 participants.

241 Continuous outcomes were analyzed using analysis of covariance adjusted for  
242 the outcome's baseline values and variables used for stratified randomization,  
243 considering only the assessments of the index knee of each participant. Binary  
244 outcomes were analyzed using Cochran-Mantel-Haenszel tests stratified by  
245 stratification variables.<sup>26</sup> All randomized participants were included in analyses  
246 according to their randomized allocation,<sup>27</sup> using multiple imputation to impute missing

247 outcome data, using all baseline characteristics (age, sex, BMI, blood pressure, medical  
248 history, WOMAC scores, SF-36 scores, and parameters of gait analysis), outcomes at  
249 all time-points, the treatment indicator, and stratification variables to generate 20  
250 imputed datasets (Supplement 3).

251       Pre-specified subgroup analyses of the primary outcome were performed  
252 according to the predominantly affected compartment and the presence or absence of  
253 symptomatic contralateral knee OA and accompanied by tests for interaction. A post-  
254 hoc subgroup analysis was done according to WOMAC pain intensity at baseline.<sup>28</sup> Pre-  
255 specified sensitivity analyses of the primary outcome included a per-protocol analysis, a  
256 complete case analysis, adjustments for potential procedural confounders, and a linear  
257 mixed effects model to analyze all knees (i.e., index or both index and contralateral  
258 knee) with a baseline WOMAC pain subscale score of  $\geq 3$ . Post-hoc sensitivity analyses  
259 of WOMAC scores, SF-36 scores and parameters of gait analyses were performed  
260 using all time points in a linear mixed-effects regression model (Supplement 3). P-  
261 values and 95% confidence intervals (CIs) were two-sided, p-values  $\leq 0.05$  were  
262 considered statistically significant. Because of the potential for type 1 error due to  
263 multiple comparisons, findings for analyses of secondary outcomes should be  
264 interpreted as exploratory. Analyses were performed in R version 3.3.2,<sup>29</sup> by an  
265 independent statistician of an academic clinical trials unit (CTU Bern, Switzerland) who  
266 was unaware of group assignment. The statistical analysis plan was finalized after  
267 completion of follow-up, but before examination of the data. Data were interpreted and  
268 conclusions formulated prior to unblinding investigators.

269 **RESULTS**

270 Between April 20, 2015 and January 10, 2017, 220 participants were randomized: 111  
271 to the experimental footwear and 109 to control footwear (Figure 1). One participant in  
272 the experimental group refused treatment and did not receive the intervention. Seven  
273 and 13 participants, respectively, discontinued treatment during follow-up. One hundred  
274 nine (98.2%) and 104 participants (95.4%) completed the primary outcome at 24 weeks  
275 follow-up, respectively. After trial completion, 217 of the 220 randomized participants  
276 were reached and advised of the potential for deception in the study design. Of three  
277 participants who were not reached, one participant in the experimental group had died,  
278 and two participants in the experimental group were lost to follow-up. None of the 217  
279 participants withdrew consent after learning that the trial involved randomization to  
280 either experimental footwear or a control footwear that was expected to be ineffective.

281 Baseline characteristics were similar between the participants randomized to  
282 each group (Table 1 and eTable 1 in Supplement 3). The study population had a mean  
283 age of 65.2 years (SD 9.3), included 47.3% females and had a mean BMI of 28.0 kg/m<sup>2</sup>  
284 (SD 4.6). Medial knee osteoarthritis was present in 90.9% and unilateral disease in  
285 67.7% of participants. The number of participants with missing data was between 0 and  
286 3 (1.4%) for baseline characteristics (eTable 2 in Supplement 3) and between 2 (0.9%)  
287 and 29 (13.2%) for outcomes (eTable 3 in Supplement 3).

288

289 **Primary outcome**

290 The experimental group had a larger decrease in standardized WOMAC pain scores at  
291 24 weeks than the control group (mean scores at 24 weeks, 1.3 vs 2.6, difference -1.3;  
292 CI -1.8 to -0.9;  $p < 0.001$ ) (Figure 2, Table 2).

293

#### 294 **Secondary outcomes**

295 The experimental group had larger declines in the secondary outcomes of WOMAC  
296 function and stiffness subscores and global score at 24 weeks (Figure 2 and Table 2).  
297 Between-group differences in velocity, step length and single limb support emerged in  
298 favor of the experimental group between 12 and 24 weeks (Table 2). The mean self-  
299 reported time spent wearing the footwear at 24 weeks was 209 vs 174 minutes per day  
300 (difference 35 minutes; CI, 4 to 67 minutes). There was no statistically significant  
301 difference in the SF-36 physical component summary score between the intervention  
302 vs. the control groups (mean, 45.9 vs 44.5, difference 1.4, CI -0.5 to 3.2). There were no  
303 significant differences between change in the SF-36 mental component summary score,  
304 analgesic use, or health care between the two groups. eTable 4 in Supplement 3  
305 presents the additional prespecified secondary outcomes, types of analgesics, health  
306 care providers, corticosteroid injections, and performed or planned knee replacement  
307 surgery. eTable 5 in Supplement 3 reports the other prespecified outcomes, treatment  
308 response achieving a 30% or 50% reduction in WOMAC pain from baseline to 24  
309 weeks. Ninety-two vs 58% of participants achieved a 30% reduction (risk difference  
310 34%; 95% CI 23% to 45%), and 83% vs 42% achieved a 50% reduction (risk difference  
311 41%; 95% CI 28% to 52%) in the experimental and control groups, respectively,  
312 corresponding to numbers-needed-to-treat of 3 (95% CI 2 to 5) and 3 (95% CI 1 to 4).



313 Pre-specified subgroup analyses of the primary outcome according to predominantly  
314 affected compartment and symptomatic contralateral disease did not show significant  
315 treatment-by-subgroup interactions (eTable 6 in Supplement 3). Sensitivity analyses of  
316 the primary outcome, including a per-protocol analysis, a complete case analysis,  
317 adjustments for potential procedural confounders, and a linear mixed effects model to  
318 analyze all knees with a baseline WOMAC pain subscore of  $\geq 3$  were consistent with  
319 main analyses (eTables 7 to 11 in Supplement 3).

320

#### 321 **Adverse events**

322 Twenty-six (23%) participants in the intervention group and 38 participants (35%) in the  
323 control group experienced an adverse event (Table 3). Three (2.7%) and 9 participants  
324 (8.3%), respectively, experienced serious adverse events. None were considered  
325 treatment related. None vs. 4 serious adverse events were musculoskeletal, 1 vs. 3  
326 were circulatory, 2 vs. 2 were in other categories, respectively (eTable 12 in  
327 Supplement 3). One or more falls occurred in 2 (1.8%) and 4 participants (3.7%),  
328 respectively; 1 participant in the control group fell while wearing the control footwear.

329

#### 330 **Post-hoc analyses**

331 A post hoc subgroup analysis of the primary outcome by WOMAC pain intensity at  
332 baseline did not show significant treatment-by-subgroup interactions (eTable 6 in  
333 Supplement 3). The post-hoc use of a mixed-effects model simultaneously including all  
334 timepoints showed results similar to those of main analyses. In the mixed-effects  
335 models, there were significant differences in WOMAC pain and physical function

336 subscores and WOMAC global scores at 12, 16 and 24 weeks, and WOMAC stiffness  
337 subscores at 16 and 24 weeks follow-up. Significant differences in parameters of gait  
338 analysis were observed for velocity and step length at weeks 12, 16 and 24, and for  
339 single limb support at week 24 (eTable 13 in Supplement 3). eFigure 4 in Supplement 3  
340 contrasts WOMAC pain subscores with the time spent wearing the footwear over the  
341 duration of the trial. The maximal difference in time spent wearing the footwear occurred  
342 at 16 weeks, while the maximum difference in WOMAC pain scores was observed 8  
343 weeks later, at 24 weeks.

## DISCUSSION

In this randomized trial, a biomechanical footwear system with individually calibrated outsole convex pods was more effective than a control biomechanical footwear at reducing pain at 24 weeks in participants with knee pain from symptomatic knee OA. Results were consistent for secondary [outcomes of](#) WOMAC function and stiffness subscores and global score at 24 weeks. There were no significant differences between groups in physical and mental components of the SF-36.

There are two differences between the biomechanical footwear system tested in this trial, and other biomechanical devices such as shoes<sup>9</sup> or wedges.<sup>8</sup> First, in this trial, the individualized calibration of proximal and distal pods of the experimental device in coronal and sagittal planes shifts the trajectory of the foot's center of pressure, thereby specifically changing the direction of the ground reaction force vector as appropriate for each individual.<sup>12,13,30</sup> Second, the convexity of the pods in the experimental footwear results in repetitive gait perturbation, with mild destabilization of the knee during walking, which in turn may elicit neuromuscular responses.

To our knowledge, no other published randomized trials have investigated the effectiveness of this biomechanical footwear system in people with symptomatic knee OA. Of six published clinical studies,<sup>10,11,15,31–33</sup> four were uncontrolled studies conducted by the manufacturer,<sup>15,31–33</sup> the remaining two were prospective and controlled, but non-randomized.<sup>10,11</sup> The most rigorous investigation was a prospective non-randomized controlled study in 57 participants with symptomatic knee OA,<sup>10</sup> which found improved pain and function with the biomechanical footwear system as compared to a control shoe. However, the difference between groups in WOMAC pain subscores

367 | at 8 weeks was not consistent with the negative 8-week resultestimate near null in the  
368 current study. The reason for this difference is unclear, but may be due to lack of  
369 randomization in the prior trial.

370

### 371 **Limitations**

372 This study has several limitations. First, the appearance of the experimental footwear  
373 and control footwear was different. To overcome this limitation and minimize the  
374 likelihood that participants would correctly guess that they were not receiving the active  
375 intervention, participants were kept unaware that the control shoe was not expected to  
376 have therapeutic benefits. Participants were informed in a neutral fashion that two  
377 different types of footwear were compared. The manufacturer's website described the  
378 control footwear as a device with a novel design of the sole, and participants allocated  
379 to the control group received a simulated calibration that mimicked the actual  
380 calibration. Second, the use of a blinding index<sup>34</sup> to determine success of blinding was  
381 not performed, because such an index assumes indistinguishable interventions. Third,  
382 the self-reported time per day wearing the footwear was longer in the experimental  
383 group than in the control group. It is possible that the greater benefit in the intervention  
384 group was due to longer wear time. Fourth, analgesic treatment for pain was allowed  
385 during the trial, but rates of analgesic use did not differ between groups. Fifth, it was not  
386 possible to explore changes in knee adduction moments using three-dimensional gait  
387 analyses. Sixth, the trial was conducted in a single center, potentially limiting  
388 generalizability. Seventh, between-group differences occurred only late during follow-up  
389 and were smaller than the observed within-group change from baseline in the control

390 group. Therefore, the clinical importance of these findings remains uncertain. Eighth,  
391 the findings from this trial are not generalizable to people at high risk of falls, as these  
392 individuals were ineligible. Ninth, the findings are not generalizable to people with  
393 severe knee pain, as these individuals were underrepresented in the trial.

394

### 395 **Conclusions**

396 Among participants with knee pain from osteoarthritis, use of biomechanical footwear  
397 compared with control footwear resulted in an improvement in pain at 24 weeks that  
398 was statistically significant but of uncertain clinical importance. Further research would  
399 be needed to assess longer term efficacy and safety, as well as replication, before  
400 reaching conclusions about the clinical value of this device.

401 **Author Contributions:**

402 Drs Reichenbach and Jüni had full access to all the data in the study and take  
403 responsibility for the integrity of the data and the accuracy of the data analysis.

404 *Concept and design:* Reichenbach, Felson, Jones, Jüni

405 *Acquisition, analysis, or interpretation of data:* All authors.

406 *Drafting of the manuscript:* Reichenbach, Hincapié, Jüni.

407 *Critical revision of the manuscript for important intellectual content:* All authors.

408 *Statistical analysis:* Lenz, Bütikofer, da Costa, Jüni.

409 *Obtained funding:* Reichenbach, Jüni.

410 *Administrative, technical, or material support:* Jüni.

411 *Supervision:* Reichenbach, Jüni.

412

413 **Conflict of Interest Disclosures:**

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429

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431 The funders had no role in the design and conduct of the study; collection,  
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434

435 **Data Sharing Statement:** Supplement 4

436

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552

553 **Figures**

554 **Figure 1. Participant recruitment, randomization, and follow-up**

555 **NOTE- THERE APPEARS TO BE A MATH ERROR IN FIGURE 1- “NOT ELIGIBLE”**  
556 **SHOULD BE 455 (NOT 457).**

557 *Definitions for WOMAC scores and Kellgren-Lawrence grades can be found in footnote to Table 1.*  
558 *STEADI, Stopping Elderly Accidents, Deaths, and Injuries score; STEADI score of 4 or greater at the*  
559 *screening visit was considered to indicate a high risk of falls.*

560 *\*The 2 and 5 participants without primary outcome data all also discontinued treatment and were*  
561 *therefore counted as part of the 7 and 13 participants reported to have discontinued treatment.*

**Figure 2. WOMAC scores during the 24-week follow up period**

Box and whisker plots, with the box representing median and interquartile range, whiskers the most extreme values within 1.5 times of the interquartile range beyond the 25<sup>th</sup> and 75<sup>th</sup> percentile, and circles the more extreme values. Panel A shows the pain subscores (primary outcome) of the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC). Panels B and C display the WOMAC physical function and stiffness subscores, respectively. Panel D shows the WOMAC global scores. Definitions for WOMAC scores can be found in footnote to Table 1.

**Table 1. Participant Characteristics at Baseline**

Characteristic	Biomechanical Footwear (N=111)	Control Footwear (N=109)
Sex — no. (%)		
Female	51 (45.9)	53 (48.6)
Male	60 (54.1)	56 (51.4)
Age yr — mean (SD)	65.3 (9.2)	65.0 (9.3)
Weight kg — mean (SD)	80.6 (15.7)	82.7 (14.2)
Height cm — mean (SD)	170.4 (8.6)	170.9 (8.2)
Body mass index <sup>a</sup> kg/m <sup>2</sup> — mean (SD)	27.7 (4.8)	28.3 (4.3)
History of meniscal resection — no. (%)	55 (49.5)	50 (45.9)
Knee joint effusion — no. (%)	18 (16.2)	17 (15.6)
Kellgren-Lawrence grade <sup>b</sup> — no. (%)		
2	33 (29.7)	36 (33.0)
3	50 (45.9)	46 (41.4)
4	28 (25.2)	27 (24.8)
Medial knee osteoarthritis — no. (%)	101 (91.0)	99 (90.8)
WOMAC scores <sup>c</sup> — mean (SD)		
Pain	4.3 (1.8)	4.0 (2.0)
Physical function	3.5 (1.8)	3.4 (1.8)
Stiffness	5.0 (2.4)	4.4 (2.4)
Global	3.8 (1.7)	3.6 (1.7)
SF-36 scores <sup>d</sup> — mean (SD)		
Physical component	40.4 (7.1)	40.3 (6.2)
Mental component	57.0 (7.4)	56.4 (8.8)
Used analgesics in the past week — no. (%)	44 (40)	35 (32)

Continuous variables are summarized as mean and standard deviation (SD). Knee-related characteristics are with regard to the index knee. Percentages may not total 100 because of rounding. For additional baseline characteristics, see eTable 1 in Supplement 3.

<sup>a</sup> The body-mass index is the weight in kilograms divided by the square of the height in meters.

<sup>b</sup> Kellgren-Lawrence grades range from 0 to 4; a grade  $\geq 2$  indicates definite osteoarthritis on anteroposterior weight-bearing radiograph; grade 2, definite osteophytes and possible joint space narrowing; grade 3, multiple osteophytes, definite joint space narrowing, sclerosis and possible bony deformity; grade 4, large osteophytes, marked JSN, severe sclerosis and definite bony deformity

<sup>c</sup> WOMAC, Western Ontario McMaster Universities Osteoarthritis Index, a self-administered questionnaire including 5 questions on pain, 17 questions on physical function and 2 questions on stiffness; all 4 composite scores were standardized to range from 0 to 10 (0, no symptoms; 10, extreme symptoms). For the WOMAC pain subscore, scores  $\leq 4$  indicate mild pain, scores  $>4$  to  $\leq 7$  moderate pain, and scores  $>7$  severe pain.<sup>28</sup>

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<sup>d</sup> The 36-Item Short-Form Health Survey (SF-36) comprises physical and mental component summary scores. Each component score having a mean of 50 and standard deviation of 10 for the general population, with higher summary scores indicating better health.

Table 2. Primary and Secondary Outcomes				
Outcome	Biomechanical Footwear (N=111)	Control Footwear (N=109)	Mean or risk difference (95% CI)	P Value
<b>Primary outcome</b>				
WOMAC Pain at 24 weeks	1.3 (1.3)	2.6 (2.0)	-1.3 (-1.8 to -0.9)	< 0.001
<b>Secondary outcomes</b>				
WOMAC Pain				
4 weeks	3.2 (1.9)	3.4 (2.0)	-0.4 (-0.9 to 0.0)	0.04
8 weeks	2.5 (1.6)	2.6 (1.8)	-0.3 (-0.7 to 0.1)	0.19
12 weeks	2.3 (1.7)	2.6 (2.1)	-0.5 (-0.9 to -0.1)	0.03
16 weeks	2.0 (1.7)	2.4 (1.9)	-0.5 (-1.0 to -0.1)	0.02
WOMAC Physical function				
12 weeks	2.1 (1.4)	2.5 (2.0)	-0.5 (-0.9 to -0.1)	0.01
24 weeks	1.4 (1.2)	2.4 (1.8)	-1.1 (-1.5 to -0.7)	< 0.001
WOMAC Stiffness				
12 weeks	2.9 (2.0)	2.8 (2.3)	-0.3 (-0.8 to 0.2)	0.25
24 weeks	1.6 (1.5)	2.8 (2.2)	-1.4 (-1.9 to -0.9)	< 0.001
WOMAC Global				
12 weeks	2.2 (1.4)	2.5 (2.0)	-0.5 (-0.9 to -0.1)	0.25
24 weeks	1.4 (1.2)	2.5 (1.8)	-1.2 (-1.6 to -0.8)	< 0.001
SF-36 Physical component				
12 weeks	43.1 (7.6)	43.8 (7.3)	-0.7 (-2.4 to 0.9)	0.39
24 weeks	45.9 (7.4)	44.5 (8.0)	1.4 (-0.5 to 3.2)	0.14
SF-36 Mental component				
12 weeks	57.1 (7.0)	56.2 (8.9)	0.6 (-1.2 to 2.4)	0.51
24 weeks	56.8 (6.7)	56.0 (9.0)	0.5 (-1.4 to 2.4)	0.59
Any health care use up to 24 weeks <sup>a</sup> — no. (%)	41 (37.3)	29 (27.0)	10.3% (-2.5 to 22.7%)	0.10
Any analgesic use at 24 weeks — no. (%)	45 (40.5)	49 (45.0)	-4.4% (-17.5 to 8.8%)	0.51
Analgesic dose in those with analgesic use at 24 weeks <sup>b</sup>	(N=45) 875 (250-2569)	(N=49) 875 (250, 2500)	0 (-1038 to 1038)	1.00
<b>Gait analysis (barefoot)</b>				
Velocity (cm/sec) — mean (SD)				
4 weeks	107.7 (16.1)	109.9 (17.7)	1.1 (-1.8 to 4.0)	0.44
Outcome	Biomechanical Footwear	Control Footwear	Mean or risk difference	P Value

	(N=111)	(N=109)	(95% CI)	
8 weeks	111.9 (16.8)	112.2 (19.5)	2.9 (-0.7 to 6.4)	0.12
12 weeks	114.0 (17.3)	112.8 (19.3)	4.3 (0.7 to 7.9)	0.02
16 weeks	114.3 (18.0)	113.2 (19.1)	4.0 (-0.1 to 8.2)	0.06
24 weeks	115.7 (17.1)	114.8 (19.2)	3.6 (-0.4 to 7.6)	0.08
Step length, index knee (cm) — mean (SD)				
4 weeks	60.4 (6.5)	60.4 (7.6)	0.7 (-0.3 to 1.6)	0.16
8 weeks	61.3 (6.9)	61.1 (8.3)	0.9 (-0.3 to 2.1)	0.15
12 weeks	61.8 (7.0)	60.9 (8.3)	1.5 (0.3 to 2.8)	0.02
16 weeks	62.3 (7.1)	61.2 (8.0)	1.6 (0.2 to 3.1)	0.03
24 weeks	62.5 (6.9)	61.6 (8.2)	1.4 (-0.1 to 3.0)	0.07
Single limb support, index knee (% of gait cycle) — mean (SD)				
4 weeks	37.0 (1.7)	37.0 (1.9)	0.1 (-0.2 to 0.4)	0.39
8 weeks	37.3 (1.7)	37.3 (1.9)	0.1 (-0.2 to 0.4)	0.59
12 weeks	37.4 (1.7)	37.3 (1.9)	0.3 (0.0 to 0.6)	0.09
16 weeks	37.4 (1.6)	37.4 (1.8)	0.1 (-0.2 to 0.5)	0.43
24 weeks	37.5 (1.5)	37.3 (2.0)	0.3 (0.0 to 0.7)	0.07
Time spent wearing footwear (min/d during the past week) — mean (SD)				
4 weeks	70.3 (48.6)	58.1 (34.2)	12.6 (1.4 to 23.8)	0.03
8 weeks	129.3 (60.8)	98.9 (45.2)	30.4 (15.9 to 44.9)	< 0.001
12 weeks	176.7 (82.3)	133.3 (66.1)	43.4 (23.0 to 63.8)	< 0.001
16 weeks	207.8 (90.0)	146.7 (99.2)	61.2 (35.1 to 87.3)	< 0.001
24 weeks	209.2 (102.9)	173.5 (122.9)	35.4 (4.2 to 66.6)	0.03

Continuous outcomes are summarized within group as mean (SD) and were analyzed at each time point using a linear regression model adjusted for the outcome's baseline values and stratification variables, and considering only the assessments of the index knee of each participant. Refer to footnotes of Table 1 for definitions of WOMAC and SF-36 scales.

For additional secondary outcomes, see eTables 4 and 5 in Supplement 3. Mantel-Haenszel risk differences were adjusted for the two stratification factors (medial or lateral osteoarthritis status, and unilateral or bilateral knee disease at randomization).

<sup>a</sup> Includes any self-reported visits to a primary care physician, rheumatologist, orthopedic surgeon, physiotherapist, occupational therapist, complementary or alternative health care practitioner, and community nurse.

<sup>b</sup> Analgesic dose in participants in experimental and control groups who reported any analgesic use at 24 weeks, expressed as acetaminophen equivalence dose in mg per day and summarized within groups as median with interquartile range and difference in medians between groups with 95% CI.



Table 3. Adverse Events		
Event	Biomechanical Footwear Group (N=111)	Control Footwear Group (N=109)
Any adverse events	26 (23.4)	38 (34.9)
Minor adverse events	23 (20.7)	30 (27.5)
Musculoskeletal	15 (13.5)	21 (19.3)
Knee pain or swelling <sup>a</sup>	2 (1.8)	3 (2.8)
Low back pain	5 (4.5)	5 (4.5)
Hip pain	5 (4.5)	3 (2.8)
Foot pain	2 (1.8)	3 (2.8)
Other	3 (2.7)	8 (7.3)
Injury	6 (5.4)	9 (8.3)
Ankle sprain	2 (1.8)	1 (0.9)
Fall <sup>b</sup>	2 (1.8)	4 (3.7)
Other	2 (1.8)	4 (3.7)
Genitourinary	2 (1.8)	2 (1.8)
Circulatory	1 (0.9)	1 (0.9)
Nervous system	0	2 (1.8)
Eye	0	1 (0.9)
Respiratory system	1 (0.9)	0
Digestive system	1 (0.9)	0
Serious adverse events <sup>c</sup>	3 (2.7)	9 (8.3)
Musculoskeletal	0	4 (3.7)
Total hip or knee replacement surgery	0	3 (2.8)
Low back pain <sup>d</sup>	0	1 (0.9)
Circulatory	1 (0.9)	3 (2.8)
Coronary heart disease <sup>e</sup>	1 (0.9)	2 (1.8)
Other	0	1 (0.9)
Genitourinary	1 (0.9)	0
Eye	0	1 (0.9)
Digestive system	1 (0.9)	1 (0.9)

Presented are numbers of participants who experienced a specific type of event and percentages.

Adverse event categories correspond to ICD 10 chapters and are summarized as clinical subcategories if at least 3 participants experienced a specific type of event.

<sup>a</sup> Corresponds to local adverse events as prespecified in the protocol.

<sup>b</sup> Corresponds to adverse events due to a fall, as prespecified in the statistical analysis plan. One participant in the control footwear group experienced a fall while wearing the study footwear.

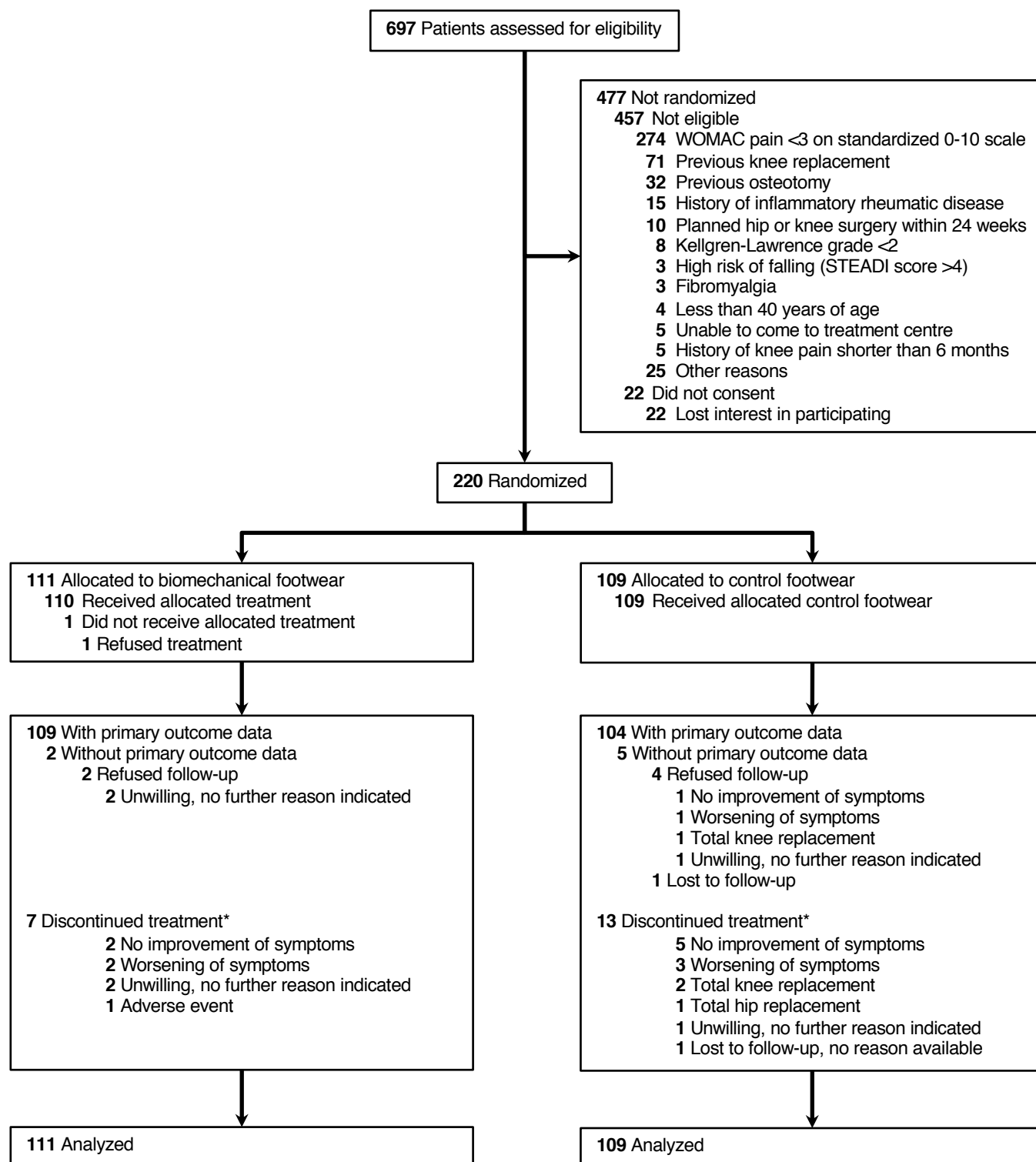
<sup>c</sup> Serious adverse events were defined as events resulting in hospitalization, prolongation of

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hospitalization, persistent or significant disability, congenital abnormality or birth defects of offspring, life-threatening events, or death.

<sup>d</sup> One participant in the control footwear group with lumbar disc herniation surgery.

<sup>e</sup> One participant in the biomechanical footwear group with acute myocardial infarction.



— Biomechanical Footwear  
— Control Footwear

